



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

6/19/96

#3

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
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08/644,072 05/09/96 MONTEIRO A 8850-003-999

0282/0619

PENNIE & EDMONDS  
1155 AVENUE OF THE AMERICAS  
NEW YORK NY 10036-2711

DATE MAILED: 0000

**NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

06/19/96

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 100.00 for large entities or \$ 10.00 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a ☒ large entity, ☐ small entity (verified statement filed), is \$ 100.00.

Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

1. ☐ The statutory basic filing fee is: ☐ missing ☐ insufficient. Applicant as a ☐ large entity ☐ small entity, must submit \$ \_\_\_\_\_ to complete the basic filing fee.
2. ☐ Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
3. ☐ The oath or declaration:  
☐ is missing.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.

4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
5. ☒ The signature(s) to the oath or declaration is/are: ☐ missing; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.48, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:  
  
\_\_\_\_\_. An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
7. ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$ \_\_\_\_\_ under 37 CFR 1.17(k), unless this fee has already been paid.
8. ☐ A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment. (37 CFR 1.21(m)).
9. ☐ Your filing receipt was mailed in error because your check was returned without payment.
10. ☐ The application does not comply with the Sequence Rules. See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.
11. ☐ Other.

Direct the response to Box Missing Part and refer any questions to the Customer Service Center at (703) 308-1202.

**A copy of this notice MUST be returned with the response.**

COPY TO BE RETURNED WITH RESPONSE

**BEST AVAILABLE COPY**



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Application of: MONTEIRO ET AL.

Serial No.: 08/644,072

Group Art Unit: Unassigned

Filed: May 9, 1996

Examiner: Unassigned

For: MULTICASTING METHOD AND  
APPARATUS

Attorney Docket No.: 8850-003

TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed please find the following:

- 1) Copy of Notice to File Missing Parts of Application;
- 2) Response to Notice to File Missing Parts;
- 3) Executed Declaration and Power of Attorney;
- 4) Recordation Form Cover Sheet;
- 5) Executed and Notarized Assignment;
- 6) Request to Establish Status as a Small Entity; and
- 7) Verified Statement Claiming Small Entity Status.

Respectfully submitted,

  
Francis E. Morris

Date July 8, 1996

24,615  
(Reg. No.)

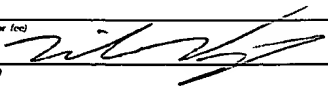
PENNIE & EDMONDS  
1155 Avenue of the Americas  
New York, New York 10036-2711  
(212) 790-9090

EXPRESSMAIL CERTIFICATION

"Express Mail" label No. EM 074 007 505 US Date of Deposit July 8, 1996. I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

MICHAEL VIGUIE

(Type or print name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)

PENY3-497330.1



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: MONTEIRO ET AL.

Serial No.: 08/644,072

Group Art Unit: Unassigned

Filed: May 9, 1996

Examiner: Unassigned

For: MULTICASTING METHOD AND  
APPARATUS

Attorney Docket No.: 8850-003

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Application under 37 C.F.R. 1.53(d), mailed June 19, 1996 in connection with the above-identified application, we are submitting herewith a Declaration and Power of Attorney executed by the inventors. We are also submitting herewith a Statement Claiming Small Entity Status as a small business concern under 37 C.F.R. 1.9(f) and 1.27(c). Accordingly, please charge the surcharge required under 37 C.F.R. 1.16(e), estimated to be Sixty Five Dollars (\$65) Dollars to Pennie & Edmonds' Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

Date July 3, 1996

Francis E. Morris

24,615  
(Reg. No.)

PENNIE & EDMONDS  
1155 Avenue of the Americas  
New York, New York 10036-2711  
(212) 790-9090

Enclosure

EXPRESS MAIL CERTIFICATION

"Express Mail" label No. EM 074 007 505 US Date of Deposit July 8, 1996. I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

MICHAEL VIGUIE

(Type or print name of person mailing paper or fee)

(Signature of person mailing paper or fee)

PENY3-496511.1



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

MONTEIRO *ET AL.*

Serial No.: 08/644,072

Group Art Unit: Not Yet Assigned

Filed: May 9, 1996

: Examiner: Not Yet Assigned

For: MULTICASTING METHOD AND  
APPARATUS

Pennie & Edmonds  
: 1155 Avenue of the Americas  
New York, NY 10036-2711

Attorney Docket No.: 8850-003

**REQUEST TO ESTABLISH STATUS AS A SMALL ENTITY AND  
REQUEST FOR REFUND PURSUANT TO 37 C.F.R. § 1.28(a)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attention: Refund Section, Accounting Division,  
Office of Refund

Sir:

A filing fee in excess of the required fee has been paid in connection with the subject patent application. In particular, a fee of \$750.00 has been paid. An assignment of the application to Netcast Communications Corporation, having a principal place of business at 9 DesBrosses Street, New York, NY, is being submitted to the Honorable Commissioner of Patents and Trademarks concurrently herewith. Netcast Communications Corp. qualifies as a small entity under 37 C.F.R. 1.9 (d), (f) and

**EXPRESS MAIL CERTIFICATION**

"Express Mail" Label No. EM 074 007 505 US Date of Deposit July 8, 1996  
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

MICHAEL VIGUIE

(Type or print name of person mailing paper or fee)

(Signature of person mailing paper or fee)


PENY3-496620.1

1.27(c); a Verified Statement Claiming Small Entity Status in the above-identified case is also being filed concurrently herewith. Therefore, a fee of only \$375.00 is required.

Accordingly, please refund 50% of the filing fee by crediting \$375 to Deposit Account No. 16-1150. A duplicate of this sheet is enclosed.

Respectfully submitted,

Date: July 3, 1996

 24,615  
Francis E. Morris (Reg. No.)

**PENNIE & EDMONDS**  
1155 Avenue of the Americas  
New York, New York 10036-2711

(212) 790-9090